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Date of Signature and Deposit:

Jean C. Baker, Reg. No. 35,433

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Liaoteng Wang, et al.

Serial No.:

10/665,797

Filed:

September 18, 2003

For:

REGULATORY POLY(A) POLYMERASES AND USES

**THEREOF** 

Group Art Unit:

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Examiner:

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**Commissioner For Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. 1.98, enclosed herewith is a list of documents which the Applicants in the above-identified patent application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this patent application.

#### U.S. Patents

5,985,575; Wickens, et al.; November 16, 1999.

6,303,311; Wickens, et al.; October 16, 2001.

#### Other Documents

W. Keller and G. Martin, "Reviving the Message," Nature 419:267-268, 2002.

R.L. Read, et al., "Cytoplasmic Poly(A) Polymerases Mediate Cellular Responses to S Phase Arrest," PNAS 99(19):12079-12084, 2002.

- S. Saitoh, et al., "Cid13 is a Cytoplasmic Poly(A) Polymerase that Regulates Ribonucleotide Reductase mRNA," Cell 109:563-573, 2002.
- L. Wang, et al., "A Regulatory Cytoplasmic Poly(A) Polymerase in Caenorhabditis elegans," Nature 419:312-316, 2002.

No fees are believed necessary to enter this statement. However, if any fees are necessary please charge Deposit Account 17-0055.

Respectfully submitted,

Laioteng Wang, et al.

February  $\mathcal{L}$ , 2004

Jean C. Baker

Reg. No. 35,433

Quarles & Brady LLP

411 East Wisconsin Avenue Milwaukee, WI 53202-4497

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Complete if Known Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete II Known		
Application Number	10/665,797	
Filing Date	September 18, 2003	
First Named Inventor	Liaoteng Wang	
Art Unit		
Examiner Name		
Attorney Docket Number	960296.99314	

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,985,575	11/16/99	Wickens, et al.	
		<sup>US-</sup> 6,303,311	10/16/01	Wickens, et al.	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>6</sup>			
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Examiner		Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known			
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INFO	DRMATION	DIS	CLOSURE	Filing Date	September 18, 2003		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Liaoteng Wang		
	<i>(1)</i>			Art Unit			
(Use as many sheets as necessary)			ecessary)	Examiner Name			
Sheet	2	of	2	Attorney Docket Number	960296.99314		

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		W. Keller and G. Martin, "Reviving the Message," Nature 419:267-268, 2002.	
		R.L. Read, et al., "Cytoplasmic Poly(A) Polymerases Mediate Cellular Responses to S Phase Arrest," PNAS 99(19):12079-12084, 2002.	
		S. Saitoh, et al., "Cid13 is a Cytoplasmic Poly(A) Polymerase that Regulates Ribonucleotide Reductase mRNA," Cell 109:563-573, 2002.	
		L. Wang, et al., "A Regulatory Cytoplasmic Poly(A) Polymerase in Caenorhabditis elegans," Nature 419:312-316, 2002.	
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Examiner		1	Date	
Signature			Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not In conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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